

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Suranjan Roychowhury et al. Confirmation No.: Unknown  
 Serial No.: 10/811,609 Examiner: Unknown  
 Filing Date: March 29, 2004 Group Art Unit: Unknown  
 Docket No.: 1001.1368102 Customer No.: 28075  
 For: INTRAVASCULAR OCCLUSION BALLOON CATHETER

**TRANSMITTAL SHEET**

Mail Stop Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. § 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment  
 Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450  
 on this 29<sup>th</sup> day of June 2004.

By

David M. Crompton

We are transmitting herewith the attached:

- ☐ Amendment  
☐ No additional claim fee required  
☐ The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

[ ] A check in the amount of \$ \_\_\_\_\_ is enclosed. Itemization:

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

Fee Code \_\_\_\_\_ \$

[ ] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: Information Disclosure Statement and Form PTO-1449

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
David M. Crompton, Reg. No. 36,772

David M. Crompton  
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1221 Nicollet Avenue, Suite 800  
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Facsimile: (612) 359-9349



**P A T E N T**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Suranjan Roychowhury et al.	Confirmation No.:	Unknown
Serial No.:	10/811,609	Examiner:	Unknown
Filing Date:	March 29, 2004	Group Art Unit:	Unknown
Docket No.:	1001.1368102	Customer No.:	28075
For:	INTRAVASCULAR OCCLUSION BALLOON CATHETER		

**INFORMATION DISCLOSURE STATEMENT**

**CERTIFICATE UNDER 37 C.F.R. § 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450  
on this 29<sup>th</sup> day of June 2004.

By

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached Form PTO-1449 are hereby disclosed and brought to the attention of the Examiner.

Pursuant to 37 C.F.R. § 1.98(d), the instant application relies on the earlier filing date of prior application Serial No. 09/770,330, filed January 26, 2001, under 35 U.S.C. § 120. The information disclosure statement submitted in the earlier application is in compliance with 37 C.F.R. § 1.98(a)-(c).

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided, with the exception of the references cited in the earlier application which are not required to be provided for this application in accordance with 37 C.F.R. § 1.98(d).

Appl. No. 10/811,609  
IDS dated June 29, 2004

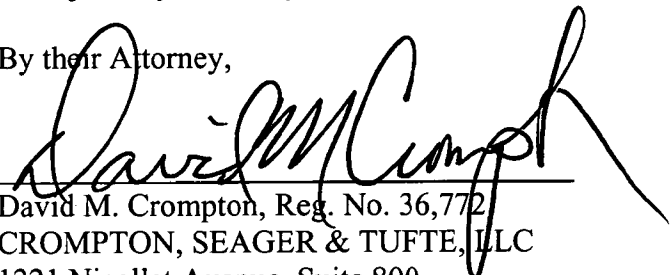
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Suranjan Roychowhury et al.

By their Attorney,

Date: 6/29/04

  
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<b>FORM PTO-1449</b>		Atty. Docket No.: 1001.1368102	Serial No.: 10/811,609
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Suranjan Roychowhury et al.	
		Confirmation No.: Unknown	
		Filing Date: March 29, 2004	Group Art: Unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	RE 35,176	03/12/1996	Powell	
AB	3,190,291	06/22/1965	Foley	
AC	3,331,371	07/18/1967	Rocchi et al.	
AD	3,378,011	04/16/1968	Vitello	
AE	3,379,197	04/23/1968	Hayes	
AF	3,402,717	09/24/1968	Doherty	
AG	3,402,718	09/24/1968	Doherty	
AH	3,417,750	12/24/1968	Carson	
AI	3,527,226	09/08/1970	Hakim	
AJ	3,602,226	08/31/1971	Ericson	
AK	3,675,658	07/11/1972	Taylor	
AL	3,726,283	04/10/1973	Dye et al.	
AM	3,742,960	07/03/1973	Dye et al.	
AN	3,985,139	10/12/1976	Penar	
AO	4,318,410	03/09/1982	Chin	
AP	4,323,071	04/06/1982	Simpson et al.	
AQ	4,411,055	10/25/1983	Simpson et al.	
AR	4,413,989	11/08/1983	Schjeldahl et al.	
AS	4,545,367	10/08/1985	Tucci	
AT	4,549,879	10/29/1985	Groshong et al.	
AU	4,564,014	01/14/1986	Fogarty et al.	
AV	4,582,181	04/15/1986	Samson	
AW	4,597,755	07/01/1986	Samson et al.	
AX	4,606,347	08/19/1986	Fogarty et al.	

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AY	4,684,363	08/04/1987	Ari et al.	
AZ	4,715,378	12/29/1987	Pope, Jr. et al.	
BA	4,748,982	06/07/1988	Horzewski et al.	
BB	4,762,129	08/09/1988	Bonzel	
BC	4,779,611	10/25/1988	Grooters et al.	
BD	4,793,351	12/27/1988	Landman et al.	
BE	4,811,737	03/14/1989	Rydell	
BF	B1 4,813,934	05/12/1992	Engelson et al.	
BG	4,848,344	07/18/1989	Sos et al.	
BH	4,930,341	06/05/1990	Euteneuer	
BI	4,932,959	06/12/1990	Horzewski et al.	
BJ	4,943,278	07/24/1990	Euteneuer et al.	
BK	4,998,923	03/12/1999	Samson et al.	
BL	5,035,705	07/30/1991	Burns	
BM	5,100,385	03/31/1992	Bromander	
BN	5,114,398	05/19/1992	Trick et al.	
BO	5,141,518	08/25/1992	Hess et al.	
BP	5,180,364	01/19/1993	Ginsburg	
BQ	5,180,367	01/19/1993	Kontos et al.	
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Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
CC	5,695,468	12/09/1997	Lafontaine et al.	
CD	5,743,875	04/28/1998	Sirhan et al.	
CE	5,769,819	06/23/1998	Schwab et al.	
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CG	5,776,099	07/07/1998	Tremulis	
CH	5,785,685	07/28/1998	Kugler et al.	
CI	5,807,328	09/15/1998	Briscoe	
CJ	5,814,016	09/29/1998	Valley et al.	
CK	5,836,924	11/17/1998	Kelliher et al.	
CL	5,916,194	06/29/1999	Jacobsen et al.	
CM	6,017,323	01/25/2000	Chee	
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CO	6,102,931	08/15/2000	Thornton	
CP	6,176,843	01/23/2001	DiCaprio et al.	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation Yes No
CQ	DE 36 25 871 A1	02/04/1988	Germany	Yes
CR	GB 2 209 121 A	05/04/1989	United Kingdom	
CS	WO 93/17750	09/16/1993	WIPO	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER: /Manuel Mendez/

DATE CONSIDERED: 07/30/2008

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.